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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,949	12/12/2000	Chris J. Barton	1800/2

CONFIRMATION NO. 3435

FORMALITIES LETTER



\*OC000000005698616\*

Mayer, Fortkort & Williams  
Suite 250  
200 Executive Dr.  
West Orange, NJ 07052

Date Mailed: 01/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1135.
  - \$495 for 55 total claims over 20.
  - \$640 for 16 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1555.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2001	MYUSLF1	00000008	501047	09734949
01 FC:201				355.00 CH
02 FC:203				495.00 CH
03 FC:202				640.00 CH
04 FC:205				65.00 CH

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

*Secher*  
Docket No.  
1800/2

In Re Application Of: **Christopher Jacques Penrose Barton et al.**

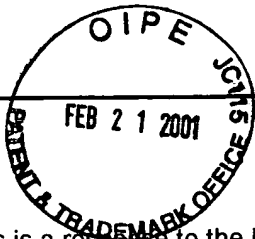
Serial No.  
09/734,949

Filing Date  
12/12/00

Examiner  
Unassigned

Group Art Unit  
2165

Invention: **METHOD AND SYSTEM FOR INTERACTING WITH A USER IN AN EXPERIENTIAL**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
1/23/01  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Not required verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.

☒ Other (list):

**Recordation Form Cover Sheet, Patents Only  
Assignment in favor of Shazam Entertainment Ltd.  
Confirmation Postcard**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
1800/2

In Re Application Of: **Christopher Jacques Penrose Barton et al.**

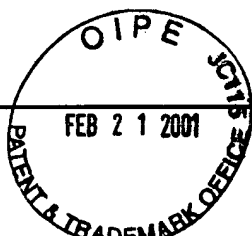
Serial No.  
09/734,949

Filing Date  
12/12/00

Examiner  
Unassigned

Group Art Unit  
2165

Invention: **METHOD AND SYSTEM FOR INTERACTING WITH A USER IN AN EXPERIENTIAL**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$355.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 19 \$640.00

☒ Total number of claims = 75 \$495.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$1,555.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$1,555.00

Response To Notice To Fill Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.  
1800/2

In Re Application Of: Christopher Jacques Penrose Barton et al.

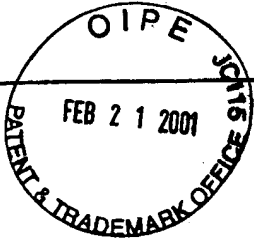
Serial No.  
09/734,949

Filing Date  
12/12/00

Examiner  
Unassigned

Group Art Unit  
2165

Invention: METHOD AND SYSTEM FOR INTERACTING WITH A USER IN AN EXPERIENTIAL



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$1,555.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1047.  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1047.  
A duplicate copy of this sheet is enclosed.

  
Signature

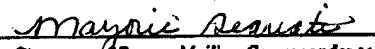
Dated: 2/16/01

Michael P. Fortkort Reg. No. 35,141  
Mayer, Fortkort & Williams  
200 Executive Dr., Suite 250  
West Orange, NJ 07052

Tel.: 703-435-9390

CC:

I certify that this document and fee is being deposited on  
2/16/01 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

Marjorie Scariati

Typed or Printed Name of Person Mailing Correspondence